IAP6 Rec'd PCIV/PTO 17 OCT 2007

ATTORNEY'S DOCKET NO. TRANSMITTAL LETTER TO THE UNITED STATES 1004501-000858 **DESIGNATED/ELECTED OFFICE (DO/EO/US)** U.S. APPLICATION No. (If known) **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371** 10/593.094 INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/CH2004/000157 17 March 2004 TITLE OF INVENTION DATA CONSISTENCY VALIDATION APPLICANT(S) FOR DO/EO/US WERNER, Thomas, MEIER, Philip, VETTER, Claus and NAEDELE, Martin Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. 1. □ 2. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must 3. include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). 5. A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. 🔲 is attached hereto (required only if not communicated by the International Bureau). b. 🔲 has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). 6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)) a. 🔲 is attached hereto. b. 🔲 has been previously submitted under 35 U.S.C. 154(d)(4). 7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). b. 🔲 have been communicated by the International Bureau. c. \square have not been made; however, the time limit for making such amendments has NOT expired. d. 🔲 have not been made and will not be made. 8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. 🖾 An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). (Signed Declaration will follow) An English language translation of the annexes of the International Preliminary Examination Report under 10. PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: 11. 🔲 An Information Disclosure Statement under 37 CFR 1.97 and 1.98. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is 12. 🔲 included. 13. 🔲 A preliminary amendment. 14. 🔲 An Application Data Sheet under 37 CFR 1.76. 15. 🔲 A substitute specification. 16. 🔲 A power of attorney and/or change of address letter.

17. 🔲

18. 🔲

19. 🔲

20.

A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825.

A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).

A second copy of the published International Application under 35 U.S.C. 154(d)(4).

Other items or information: Copy of Notification of Defective Response

10/593,094		PCT/CH2004/000157			1004501-000858		
The following fees have been submitted:		:		CALCULATIONS	PTO USE ONLY		
21. Basic National fee (37 CFR 1.49							
	Examination fee (37 CFR 1.492(c))						
If the written	If the written opinion prepared by ISA/US or the international preliminary						
	examination report prepared by IPEA/US indicates all claims satisfy provisions of						
	PCT Article 33(1)-(4) (1643) \$ 0 All other situations (1633) \$ 200					!	
If the written opinion of the ISA/US or the international preliminary examination							
report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article							
33(1)-(4) (1640) \$ 0 (1640) \$ 0							
Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority (1641) \$ 100							
International Search Report prepared by an ISA other than the US and provided							
	to the Office or previously communicated to the US by the IB (1642) \$ 400						
	All other situations (1632) \$ 500						
TOTAL OF 21, 22 AND 23 =							
Additional fee for specifications and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or							
computer program listing in an electronic medium) (37 CFR 1.492(j)).							
	F &		h additional 50 sheets of feach additional 50 or fraction		n thereof.	-	
Total Sheets Extra			ound up to a whole number)	RATE			
0 -100 = 0	1 1 -	0		x \$ 250			
Surcharge of \$ 130 (1617) for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).							
CLAIMS	NUMBER F		NUMBER EXTRA	RATE			
Total claims	5 -2	20 =	0	x \$ 50 (1615)			
Independent Claims	1 -:	3 =	0	x \$ 200 (1614)			
MULTIPLE DEPENDEN		(if applic	able)	+ \$ 360 (1616)			
TOTAL OF ABOVE CALCULATIONS =							
Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2							
SUBTOTAL =							
Processing fee of \$ 130 (1618) for furnishing the English translation later than 30 months from the							
earliest claimed priority date (37 CFR 1.492(i)).							
TOTAL NATIONAL FEE = Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied						-	
by an appropriate cover							
TOTAL FEES ENCLOS							
					Amount	to be refunded:	\$
					Amoun	t to be charged:	\$
a. A check in the amount of to cover the above fees is enclosed.							
b. Please charge my Deposit Account No. 02-4800 in the amount of to cover the above fees. A duplicate copy of this sheet is enclosed.							
The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any							
overpayment to Deposit Account No. 02-4800. A duplicate copy of this sneet is enclosed.							
d. Charge \$ to credit card. Form PTO-2038 is attached.							
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.							
SEND ALL CORRESPONDENCE TO:							
Customer No 21839 SIGNATURE							
Alexandria, VA 2231	3-1404		~				
703.836.6620 Patrick C. Keane					32858		
Date: October 17, 200	<u>)7</u>			NAME		REGIS	STRATION NO.

INTERNATIONAL APPLICATION NO.

ATTORNEY'S DOCKET NO.

U.S. APPLICATION NO. (If known)



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Vinginia 22313-1450 www.uspto.gov

U.S. APPLICATION NUMBER NO.

FIRST NAMED APPLICANT

ATTY. DOCKET NO.

10/593,094

Thomas Werner

1004501-000858

INTERNATIONAL APPLICATION NO.

PCT/CH04/00157

I.A. FILING DATE

PRIORITY DATE

03/17/2004

21839 BUCHANAN, INGERSOLL & ROONEY PC POST OFFICE BOX 1404 **ALEXANDRIA, VA 22313-1404**

CONFIRMATION NO. 7833 371 FORMALITIES LETTER *OC000000025849660*

Date Mailed: 09/17/2007

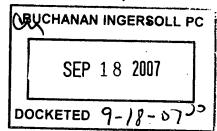
JRGENT

NOTIFICATION OF DEFECTIVE RESPONSE

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495)

- Copy of the International Application filed on 09/15/2006
- Copy of the International Search Report filed on 09/15/2006
- Preliminary Amendments filed on 09/15/2006
- Information Disclosure Statements filed on 12/15/2006
- Oath or Declaration filed on 05/10/2007
- U.S. Basic National Fees filed on 09/15/2006
- Assignment filed on 05/10/2007
- Power of Attorney filed on 05/10/2007
- Specification filed on 09/15/2006
- Claims filed on 09/15/2006
- Abstracts filed on 09/15/2006
- Drawings filed on 09/15/2006

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Applicant's response filed 05/10/2007 is hereby acknowledged. The following requirements set forth in the NOTIFICATION of MISSING REQUIREMENTS mailed 03/14/2007 have not been completed.

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
 - Declaration document appears to be a compilation of a number of separate declaration documents (the document includes one copy of the first page, two copies of the second page, one copy of the third page). "Where individual declarations are executed, they must be submitted as individual declarations rather than combined into one declaration." See MPEP 201.03 (II)(B)

Applicant is required to complete the response within a time limit of ONE MONTH from the date of this Notification or within the time remaining in the response set forth in the Notification of Missing

Rec'd by New Applications

Requirements, whichever is the longer. No extension of this time limit may be granted under 37 CFR 1.136, but the period for response set in the Notification of Missing Requirements may be extended under 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web. <a href="https://sportal.uspto.gov/authenticate/Authent

For more information about EFS-Web please call the USPTO Electronic Business Center at **1-866-217-9197** or visit our website at http://www.uspto.gov/ebc.

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

BARBARA A CAMPBELL

Telephone: (703) 308-9140 EXT 217

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/593,094	PCT/CH04/00157	1004501-000858

FORM PCT/DO/EO/916 (371 Formalities Notice)